# Quantifying the role of teamwork and reputation across scientific careers

## Lorentz Center

International Center for workshops in the Sciences

# Simulating the Social Processes of Science from 7 Apr 2014 through 11 Apr 2014



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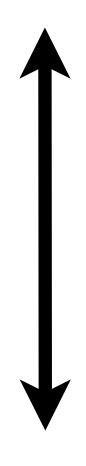


#### Science is a multi-scale system with emergent complexity

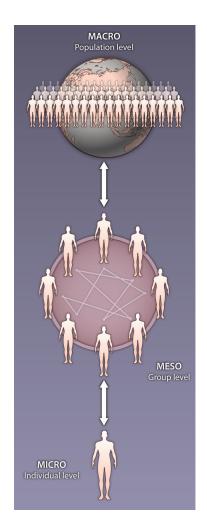
## Science of Science

Practical Question: how to measure scientific output and impact at various scales while accounting for systemic heterogeneity

- Science
- Country
- Institution
- Lab / Team
- Individual
- Paper



K. Börner, et al. A multi-level systems perspective for the science of team science. Sci. Transl. Med. 2, 49cm24 (2010).



# Models of science: don't throw the baby out with the bathwater!

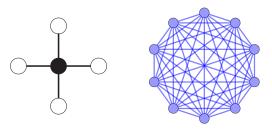
### Macro (institutions)

- Exponential growth of Science
- Economics of research universities and national funding programs
- Increasing role of teams (division of labor) in science

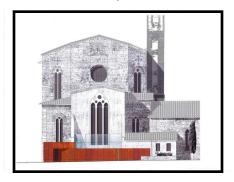
#### Micro (individual careers)

- Growth of careers
- Collaboration patterns within careers
- Competition
- Issues of ethics (rules of the game)

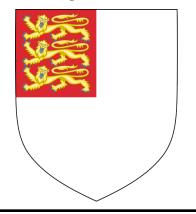
# Limited complexity in small knowledge networks



Early scholarly societies, e.g. national societies, scholastic monasteries, noble courts

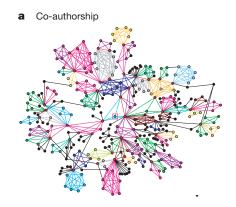


The Royal Society of London for Improving Natural Knowledge, Established 1660



Paradigm shifts in large knowledge networks

arising from
Growth and
increasing
organizational
complexity





G. Palla, A.-L. Barabasi, T. Vicsek. Quantifying social group evolution. Nature 446, 664-667 (2007)

S. Wuchty, B. F. Jones, B. Uzzi. The increasing dominance of teams in production of knowledge. Science 316, 1036-9 (2007)

#### **Urban property**

210 acres (85 ha) (Main campus) 21 acres (8.5 ha) (Medical campus) 360 acres (150 ha) (Allston campus) 4,500 acres (1,800 ha) (other holdings)



Academic staff
2,100
Admin. staff
2,500 non-medical
11,000 medical

#### **Endowment**

US\$30 <u>billion</u> (2012) (Large-cap company, e.g. same market capitalization as Enel and Mitsubishi)



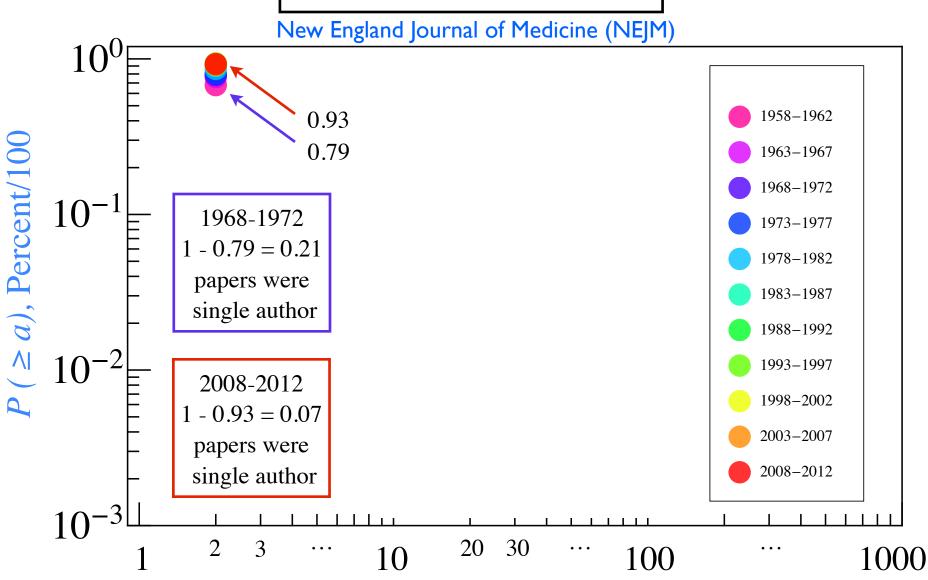
## Overcoming grand challenges via teamwork



"Cooperation has created a conundrum for generations of evolutionary scientists. If natural selection among individuals favors the survival of the fittest, why would one individual help another at a cost to itself? .... Cooperation leads to integration, and integration to the complexity we see in modern life... So pervasive is cooperation that Martin Novak of Harvard University ranks it as the third pillar of evolution, alongside of mutation and natural selection."

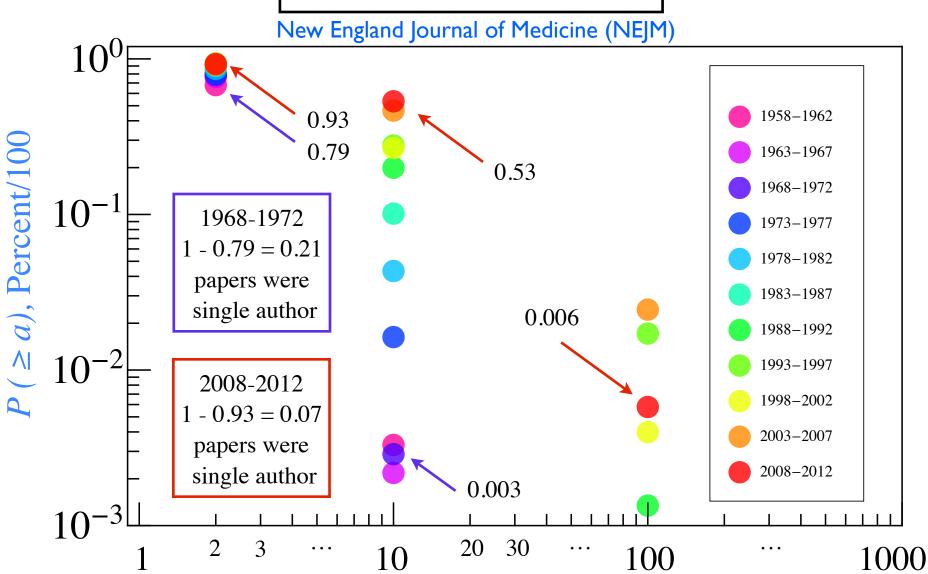
On the origin of cooperation (2009) E. Pennisi. Science 325

 $P (\ge a)$ , the fraction of all papers with team size of at least size a



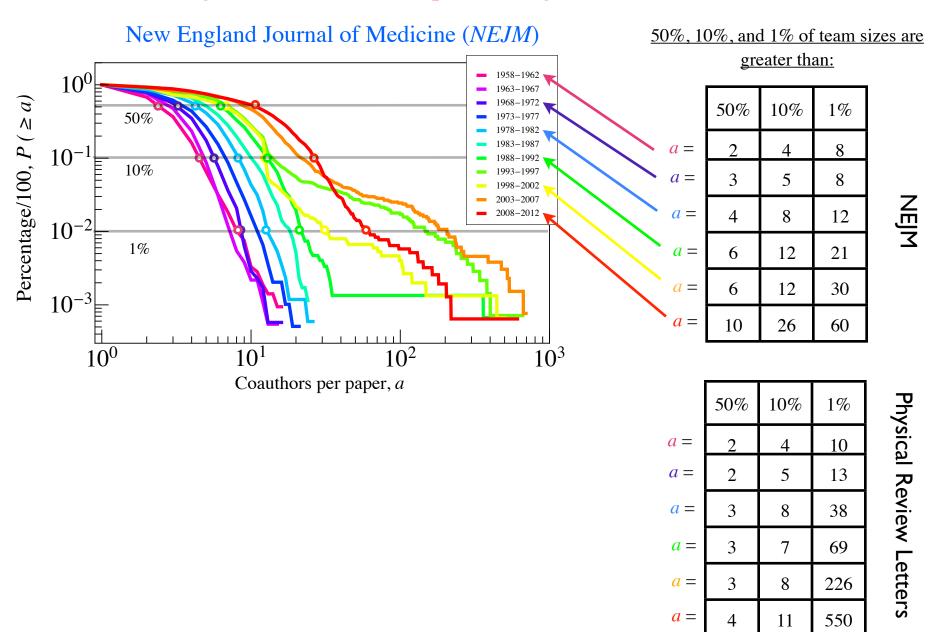
Coauthors per paper, a

 $P (\ge a)$ , the fraction of all papers with team size of at least size a

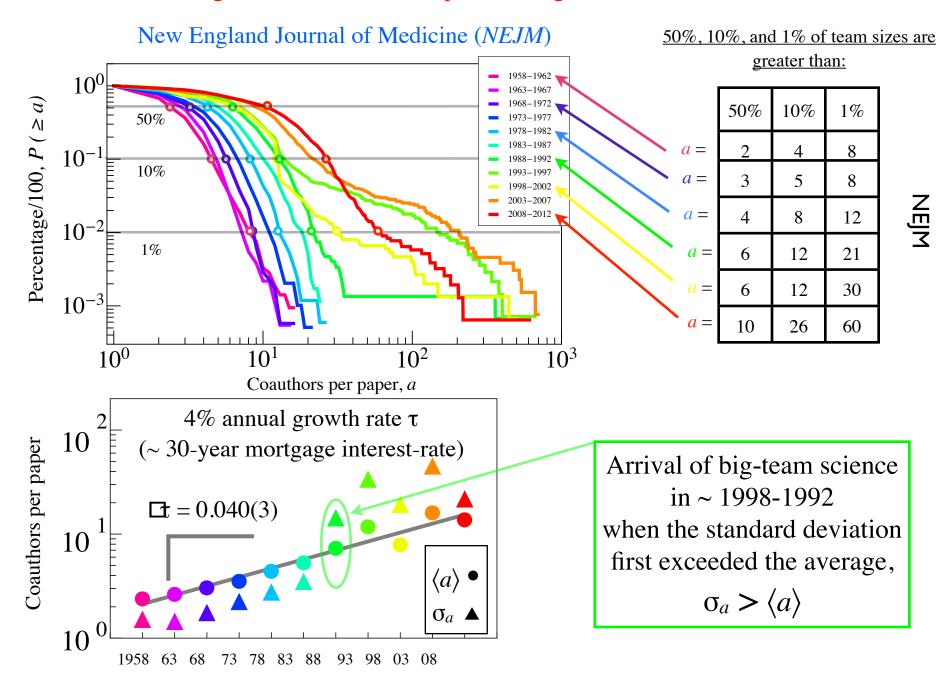


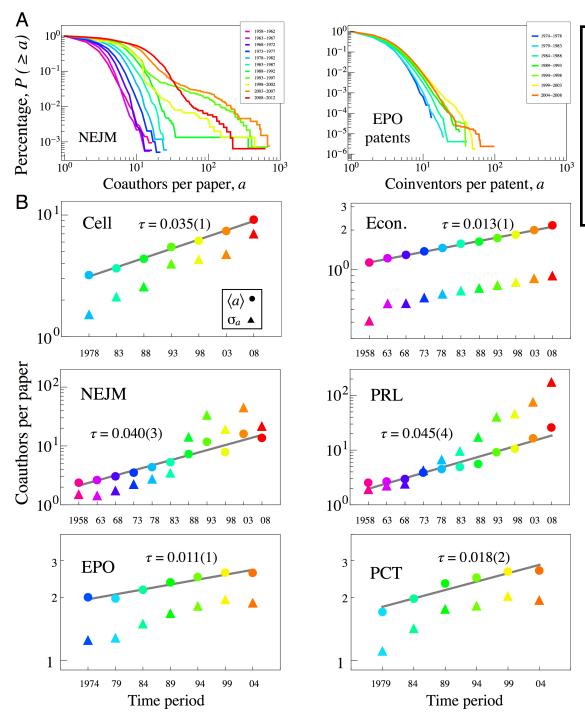
Coauthors per paper, a

#### Connecting the dots reveals the persistent growth of team size in R&D



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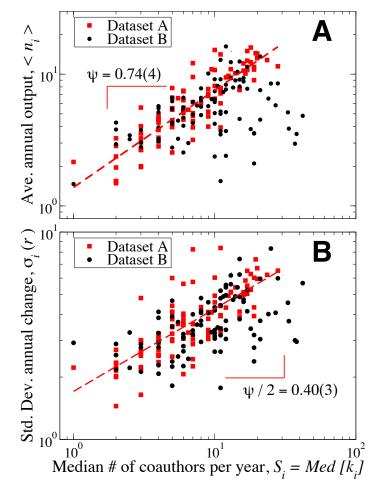


Diverse discip	Articles /	Team size		
Dataset	Years	Patents	growth rate $ au$	
Cell	1978 – 2012	11,637	0.035(1)	
14 Economics journals	1958 – 2012	36,466	0.013(1)	
New England J. Medicine	1958 – 2012	18,347	0.040(3)	
Physical Review Letters	1958 – 2012	98,739	0.045(4)	
European Patent Office	1974 – 2008	2,207,204	0.011(1)	
Patent Cooperation Treaty	1979 – 2008	1,695,339	0.018(2)	

# Regularities allow for Future projections....

For example, if we extend the growth trend observed for the journal *Cell* over the last 35 years, extrapolating to the year 2050, the average team size is likely to be around 34 coauthors per paper. For PRL and NEJM the predictions are 105 and 74 coauthors per publication, respectively.

For comparison, repeating the same extrapolation for the European Patent Office (EPO) growth trend, suggests that by 2050 the average patent will have roughly 4.2 coinventors, the same average team size for Cell publications in 1988.



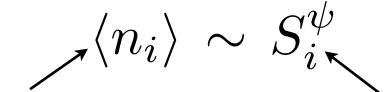
There is a decreasing marginal returns (inefficiencies aggregate sublinearally,  $\psi < 1$ ) with increasing collaboration radius S, likely attributable to team management inefficiencies,

#### Collaboration radius and team efficiency

Dataset A: Top physicists

Dataset B: random set of prolific physicists

Towards a micro-level production function:



average number of publications per year

 $S_i$  is median number of coauthors per year

Output change ("growth fluctuation"),

$$r_i(t) \equiv n_i(t) - n_i(t - \Delta t)$$

std. deviation of publication change

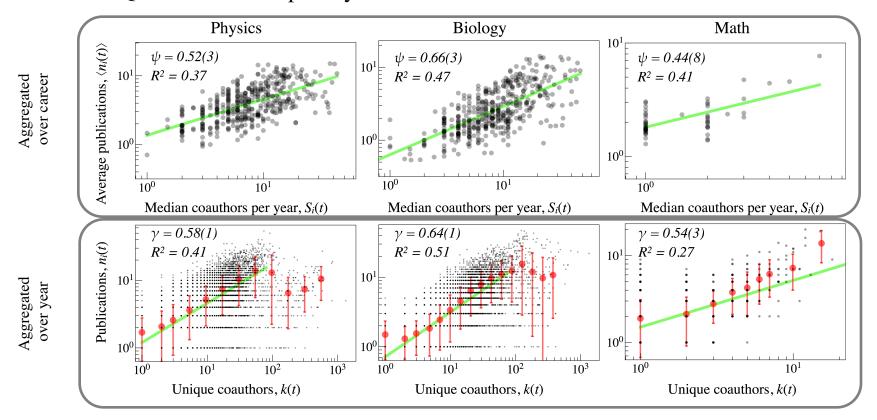
$$\sigma_i(r) \sim S_i^{\psi/2} \cdot \underbrace{\qquad \qquad }_{\text{team efficiency parameter } \psi}$$
 fluctuation scale

Persistence and Uncertainty in the Academic Career, A. M. Petersen, M. Riccaboni, H. E. Stanley, F. Pammolli. Proc. Natl. Acad. Sci. USA 109, 5213-5218 (2012).

#### Team (in)efficiency

Q: How does annual productivity depend on the number of "labor inputs"?

Q: Are there disciplinary variations?



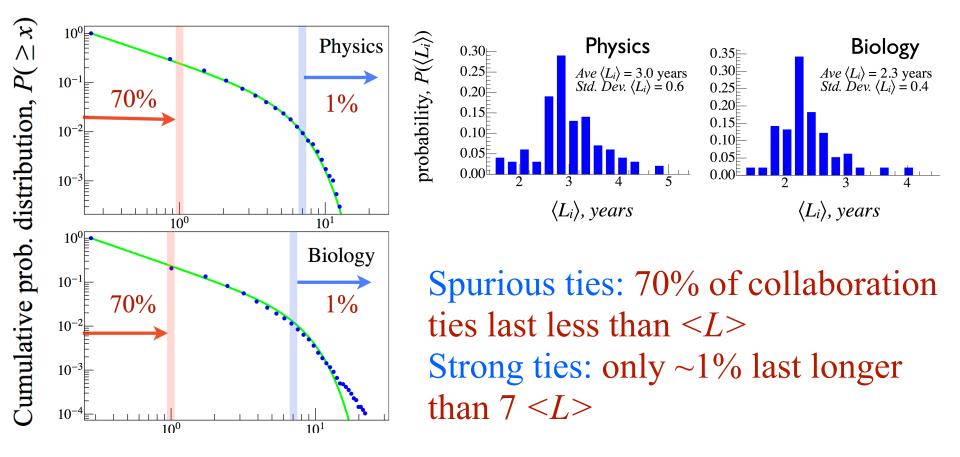
We measure the input-output relation using two aggregation methods, which both yield sublinear scaling relations with efficiency parameters  $\psi \approx \gamma$  and  $\psi$ ,  $\gamma < 1$ 

Interestingly, for scientists not in the top cohort we observe smaller  $\psi$  and  $\gamma$  values, suggesting

that team management skills are an important factor related to success

$$\gamma_{\text{Top100 Phyics}} = 0.68(1) > \gamma_{\text{Prolific Physics}} = 0.52(1), \gamma_{\text{AsstProfessor Physics}} = 0.51(2)$$

## Patterns of collaboration tie-strength



Normalized collaboration length,  $x = L_{ij} / \langle L_i \rangle$ 

Is the "invisible college" held together by weak-ties? (short-term grad/postdoc collaborations) How much does this contribute to team inefficiency?

## Paradigm shifts beyond growth and efficiency

The growth of team endeavors across multiple size scales requires individual introspection and institutional revision of the norms of team ethics:

#### 6 ethics issues in team settings:

- (i) Credit/Blame
- (ii) Parasitic coauthorship (freeloading), and sanctioning of bad behavior in team setting
- (iii) Conflicts of interest
- (iv) Breakdown of the mentor-trainee relation and virtue ethics
- (v) International variations in ethics codes
- (vi) Universality "One-size-fits-all" of team ethics

A quantitative perspective on ethics in large team science, A. M. Petersen, I. Pavlidis, I. Semendeferi. Under review, Science & Engineering Ethics. ArXiv: 1404.0191

# Scientist incentives are changing

#### "50-way tie for the Nobel Prize"

www.sciencemag.org **SCIENCE** VOL 336 6 APRIL 2012 *Published by AAAS* 

CITATION IMPACT

9 DECEMBER 2011 VOL 334 SCIENCE

#### Saudi Universities Offer Cash In Exchange for Academic Prestige

Two Saudi institutions are aggressively acquiring the affiliations of overseas scientists with an eye to gaining visibility in research journals

SCIENCE POLICY

5 AUGUST 2011 VOL 333 SCIENCE

#### **Changing Incentives to Publish**

Chiara Franzoni,1 Giuseppe Scellato,23 Paula Stephan4.56\*

## Ethical scandals reveal the price of success

"...one survey estimated that almost 7% of students in US universities have used prescription stimulants [Adderall and Ritalin] in this way, and that on some campuses, up to 25% of students had used them in the past year. These students are early adopters of a trend that is likely to grow, and indications suggest that they're not alone."

## Towards responsible use of cognitiveenhancing drugs by the healthy

Society must respond to the growing demand for cognitive enhancement. That response must start by rejecting the idea that 'enhancement' is a dirty word, argue Henry Greely and colleagues.

NATURE|Vol 456|11 December 2008

## **Professor's little helper**

The use of cognitive-enhancing drugs by both ill and healthy individuals raises ethical questions that should not be ignored, argue Barbara Sahakian and Sharon Morein-Zamir.

NATURE|Vol 450|20/27 December 2007

NATURE|Vol 452|10 April 2008

## Poll results: look who's doping

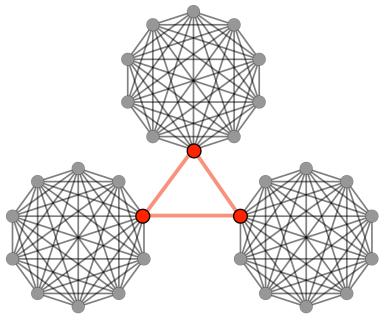


"One in five respondents said they had used drugs for nonmedical reasons to stimulate their focus, concentration or memory. Use did not differ greatly across age-groups..., which will surprise some. "

In January, Nature launched an informal survey into readers' use of cognition-enhancing drugs. Brendan Maher has waded through the results and found large-scale use and a mix of attitudes towards the drugs.

#### Team Ethics: Credit distribution in large team science

The reward system in science developed during a period when teams were relatively small. Hence, there is an inherent difficulty in distributing fairly sliced credits in large modular teams comprised of *heterogenous* members



a = 30, N = 138

2008-2012

 NEJM (Medicine),
  $P \ ( \ge 30) = 0.065$  

 PRL (Physics),
  $P \ ( \ge 30) = 0.040$  

 Cell (Biology),
  $P \ ( \ge 30) = 0.017$ 

Cutting the "credit pie" fairly: Who gets credit? "Who's on first"?

### Citation (impact) credit:

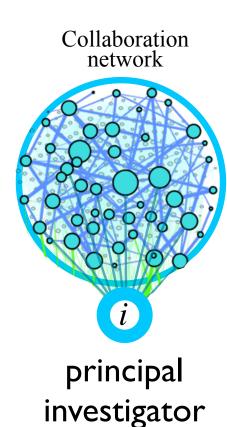
- Is it shared equally amongst *a* coauthors?

#### Fraud/Retraction anti-credit:

- can impact all a coauthors
- If credit is shared equally then should blame also?

~ factor of 20 increase in retractions from 2000 - 2010 The retraction penalty: Evidence from the web of science. Lu SF, Jin GZ, Uzzi B, Jones B. Scientific Reports 3, 3146 (2013).

# What makes science special (complex)?

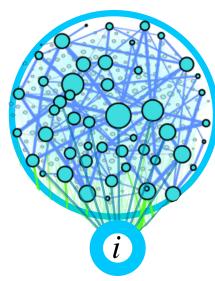


#### Interactions mediated by social "forces":

- Collaboration (attractive)
- Competition for priority (repulsive)
- Knowledge (an "exchange particle")

# Diverse collaboration strategies

Collaboration network



diverse collaboration strategies even within the same field!

#### Interactions mediated by social "forces":

- Collaboration (attractive)
- Competition for priority (repulsive)
- Knowledge (an "exchange particle")

## Watson-Crick strategy:

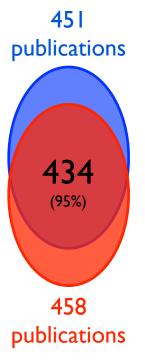
- \* Michael Stuart Brown
- \* Joseph L. Goldstein

Recipients of the 1985 Nobel Prize in Physiology or Medicine for describing the regulation of cholesterol metabolism.

## Solo-artist strategy:

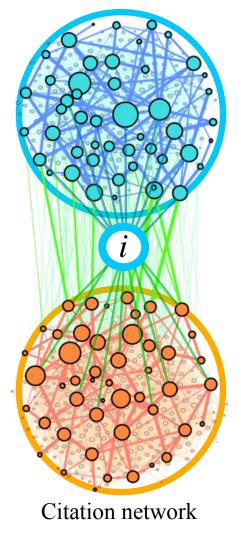
\* Marilyn Kozak

 $N = 70, N_{solo} = 59 (84\%)$ 



## Science: a co-evolving network of networks

Collaboration network

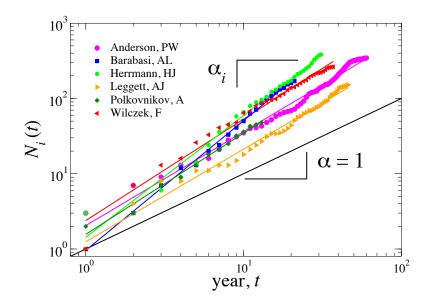


## **Complexity**

- coevolutionary system:
  - knowledge
  - institutions
  - careers
- social processes:
  - behavioral aspects
  - economic incentives
  - cumulative advantage mechanisms
  - collaboration / competition

#### The context: Stellar (career) growth

a tale of knowledge, collaboration, and reputation spillovers



Annual production of individual i

 $n_i(t)$  -number of publications in year t

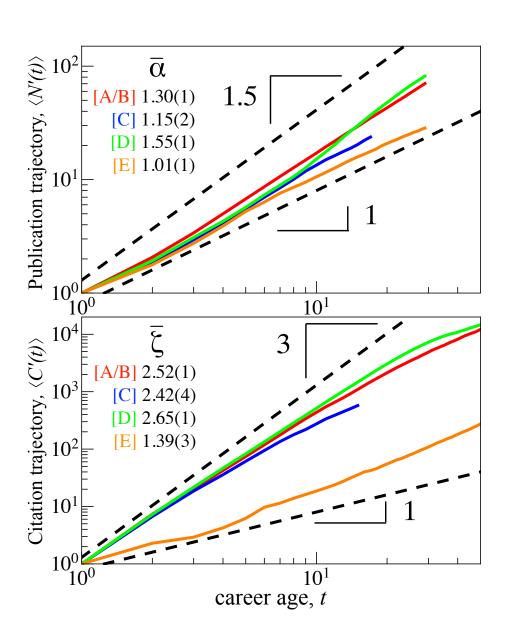
Cumulative production, a proxy for career reputation

$$N_i(t) \equiv \sum_{t'=1}^t n_i(t')$$
  $\approx A_i t^{\alpha_i} \leftarrow \text{for many prolific careers!}$ 

 $\alpha_i > 1$ : knowledge, reputation, and collaboration spillovers contribute to sustainable growth across the academic career

Persistence and Uncertainty in the Academic Career, A. M. Petersen, M. Riccaboni, H. E. Stanley, F. Pammolli. Proc. Natl. Acad. Sci. USA 109, 5213-5218 (2012).

## Common growth patterns observed across discipline



#### The data:

longitudinal Web of Science publication and citation data for 450 top scientists; 83,693 papers, 7,577,084 citations tracked over 387,103 years

**Set A:** 100 most-cited physicists, average h-index  $\langle h \rangle = 61 \pm 21$ 

**Set B:** 100 additional highly-prolific physicists,  $\langle h \rangle = 44 \pm 15$ 

**Set C:** 100 current assistant professors from 50 US physics depts.,  $\langle h \rangle = 15 \pm 7$ 

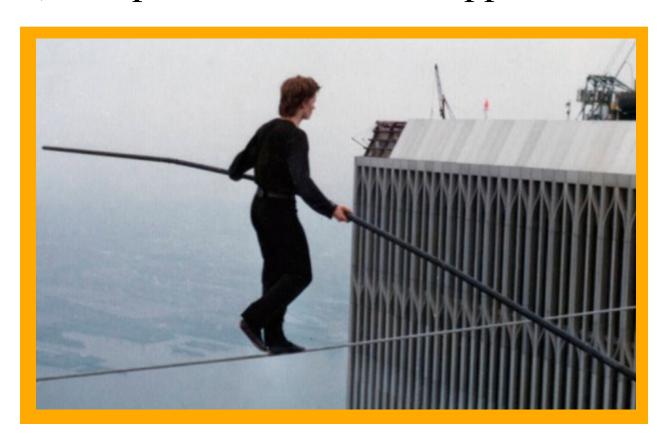
Set D: 100 most-cited cell biologists,  $\langle h \rangle$  = 98 ± 35

**Set E:** 50 highly-cited pure mathematicians,  $\langle h \rangle = 20 \pm 10$ 



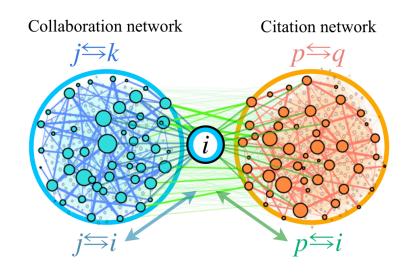
## Models of science

- A) microscopic reputation mechanisms
- B) cumulative advantage mechanism
- C) competition for limited opportunities





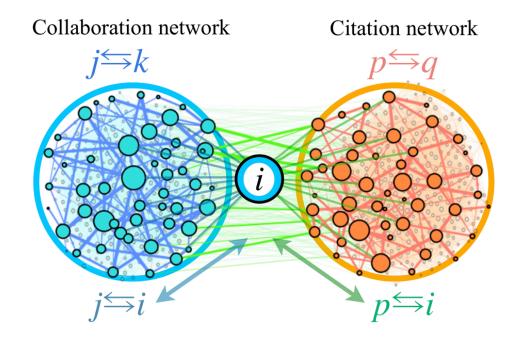
## A) Reputation flows in the collaboration-citation network



What is the role of the network?

It constitutes the channels for reputation signaling, a mechanism used to overcome problems associated with incomplete information / reproducibility / and the "agency problem" in Science [P. Stephan, J. Econ. Lit 34. 1996]

- ⇒ Author-specific factors matter!
- $\Rightarrow$  evidence is in the citation rates (  $p \rightleftharpoons i$  )



## Reputation effect citation model

# of new citations in year  $t+1 = \Delta c_{i,p}(t+1) \equiv \eta \times \Pi_p(t) \times A_p(\tau) \times R_i(t)$ 

- 1. preferential attachment
- 2. citation life-cycles
- 3. author reputation effect

$$\Pi_p(t) \equiv [c_p(t)]^{\pi}$$

$$A_p(\tau) \equiv \exp[-\tau_p/\overline{\tau}]$$

$$R_i(t) \equiv [C_i(t)]^{\rho}$$

## Author-specific features: $\pi_i$ , $\Box F_i$ , $\Box p_i$

TABLE I: Best-fit parameters for individual careers and the average values within disciplinary datasets. The three features of the citation model are parameterized by  $\pi$ , the paper citation effect,  $\overline{\tau}$ , the life-cycle effect, and  $\rho$ , the reputation effect.

<b>S</b>		$c(t-1) < c_{\times}$			$c(t-1) \ge c_{\times}$			
sics	Name	$\pi_i$	$\overline{ au}_i$	$ ho_i$	$\pi_i$	$\overline{ au}_i$	$ ho_i$	$c_{\times}$
ıys	GOSSARD, AC	$0.34 \pm 0.027$	$4.92 \pm 0.261$	$0.25 \pm 0.008$	$0.80 \pm 0.048$	$4.73 \pm 0.184$	$0.09 \pm 0.024$	
phy	BARABÁSI, AL	$0.42 \pm 0.036$	$3.00 \pm 0.155$	$0.29 \pm 0.010$	$1.06 \pm 0.016$	$3.65 \pm 0.111$	$0.01 \pm 0.011$	40
<del>_</del>	Ave. $\pm$ Std. Dev. [A]	$0.43 \pm 0.14$	$5.67 \pm 2.52$	$0.22 \pm 0.06$	$0.96 \pm 0.19$	$8.93 \pm 4.09$	$-0.07 \pm 0.11$	
g	BALTIMORE, D	$0.32 \pm 0.018$	$4.64 \pm 0.148$	$0.28 \pm 0.006$	$0.62 \pm 0.047$	$5.92 \pm 0.250$	$0.15 \pm 0.026$	100
010	LAEMMLI, UK	$0.54 \pm 0.036$	$5.09 \pm 0.297$	$0.21 \pm 0.014$	$1.09 \pm 0.025$	$6.40 \pm 0.255$	$-0.12 \pm 0.019$	100
biolo	Ave. $\pm$ Std. Dev. [D]	$0.40 \pm 0.14$	$6.64 \pm 6.24$	$0.26 \pm 0.05$	$0.99 \pm 0.22$	$9.55 \pm 26.30$	$-0.06 \pm 0.14$	
	SERRE, JP	$0.33 \pm 0.095$	$15.90 \pm 3.724$	$0.14 \pm 0.026$	$0.66 \pm 0.065$	$20.50 \pm 3.862$	$-0.03 \pm 0.039$	20
th	WILES, A	$0.56 \pm 0.208$	$5.23 \pm 1.187$	$0.24 \pm 0.052$	$0.70 \pm 0.059$	$9.04 \pm 0.633$	$0.10 \pm 0.042$	20
nal	Ave. $\pm$ Std. Dev. [E]	$0.27 \pm 0.17$	$30.60 \pm 56.80$	$0.14 \pm 0.07$	$0.54 \pm 0.25$	$21.40 \pm 54.30$	$0.01 \pm 0.11$	

### Take home message:

- 1) The reputation effect is strong for papers not yet highly cited
- 2) The citation rate of highly-cited papers is largely independent of the author reputation

$$\rho(c < c_{\times}) > \rho(c \ge c_{\times})$$

$$\pi(c < c_{\times}) < \pi(c \ge c_{\times})$$
 $\rho(c \ge c_{\times}) \approx 0$ 
 $\pi(c \ge c_{\times}) \approx 1$  (linear pref. attachment)

## Citation boosts attributable to author reputation

TABLE I: Best-fit parameters for individual careers and the average values within disciplinary datasets. The three features of the citation model are parameterized by  $\pi$ , the paper citation effect,  $\overline{\tau}$ , the life-cycle effect, and  $\rho$ , the reputation effect.

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$\Box$							

 $c_{\times}$ 

40

100

20

The reputation premium: A 66% increase in the citation rate for every 10-fold increase in reputation!

Incentive for Quality > Quantity!

Since ~ 10-15% of an author's C comes from their highest-cited paper alone

#### **Reputation and Impact in Academic Careers**

A. M. Petersen, S. Fortunato, R. K. Pan, K. Kaski, O. Penner, M. Riccaboni, H. E. Stanley, F. Pammolli Under review, arXiv:1303.7274

consider 2 scientists (with roughly equivalent paper lifecycle factor  $\overline{\tau}$ ), and one with  $10\times$  as many total citations as the other,

$$C_1(t) = 10 C_2(t)$$
,

then for 2 papers with the same # of citations  $c < c_x$  (in the strong reputation regime)

$$\frac{\Delta c_{1,p}(t+1)}{\Delta c_{2,p}(t+1)} = 10^{\rho} = 1.66$$

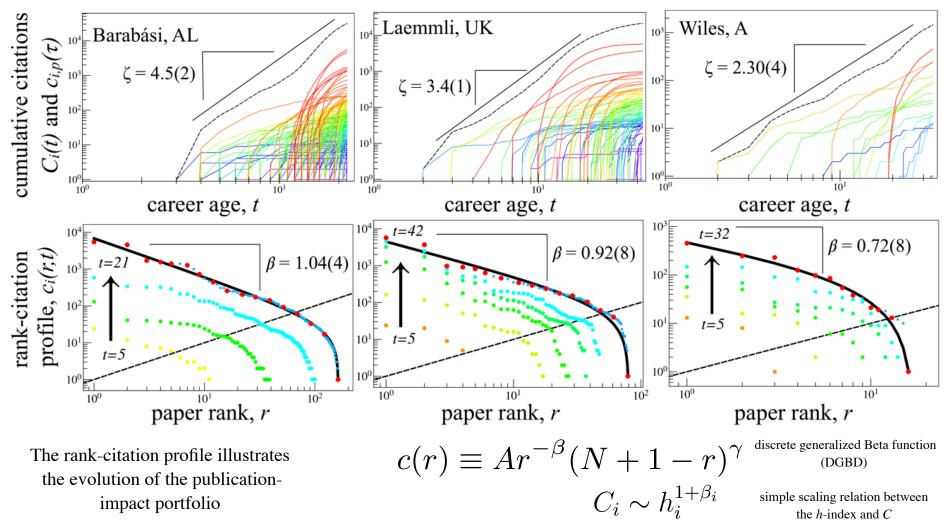
## Benchmark patterns of microscopic career growth dynamics

$$c_p(\tau) = \sum_{\substack{N_i(t) \\ C_i(t)}} \Delta c_p(t)$$

$$C_i(t) = \sum_{\substack{r=1 \\ r=1}} c_i(r,t) \sim t^{\zeta_i}$$

cumulative # of citations at paper age  $\tau$ 

cumulative citations by career age t

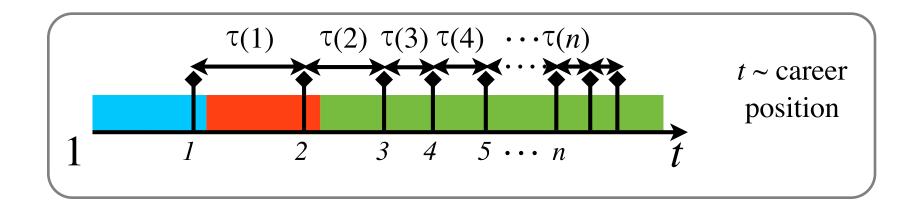


Statistical regularities in the rank-citation profile of scientists, A. M. Petersen, H. E. Stanley, S. Succi. Scientific Reports 1, 181 (2011).



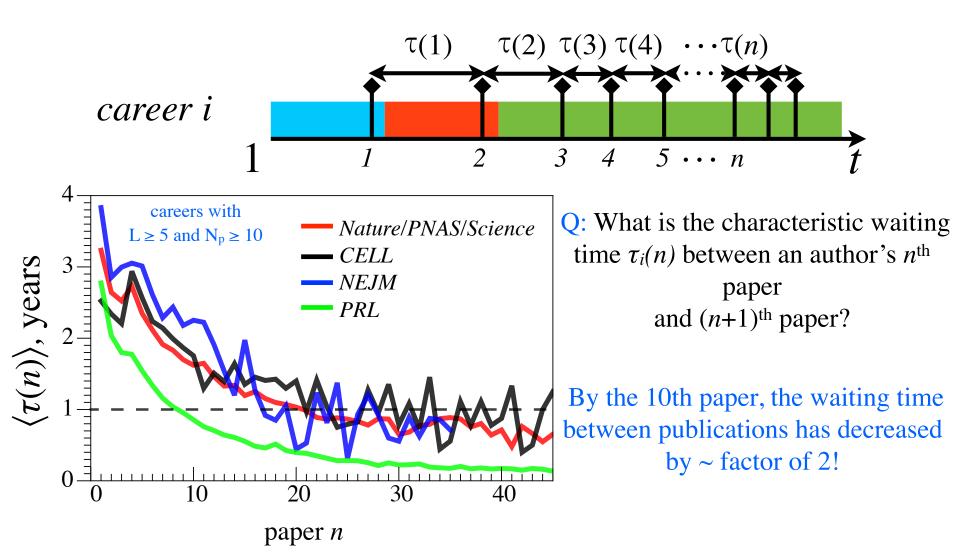
## B) Modeling "Cumulative advantage"

#### career i



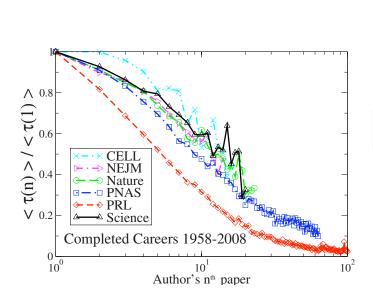
## Empirical evidence for cumulative advantage

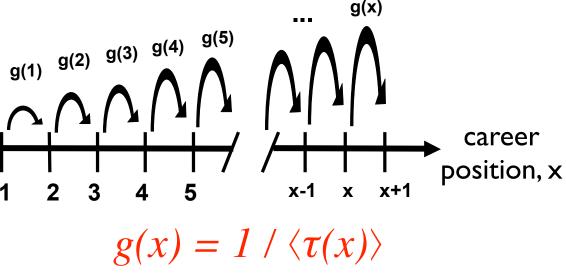
For each career *i* we track his/her longitudinal publication rate by aggregating over publications in a *specific set* of high-impact journals



### Two main ingredients of the model

- I) Forward progress follows a stochastic "progress rate" g(x). Cumulative advantage corresponds to g(x) increasing with career position x
- 2) Random termination of the career due to hazards (e.g. decreased work performance, economic down, economic downturn, health, retirement, etc.)



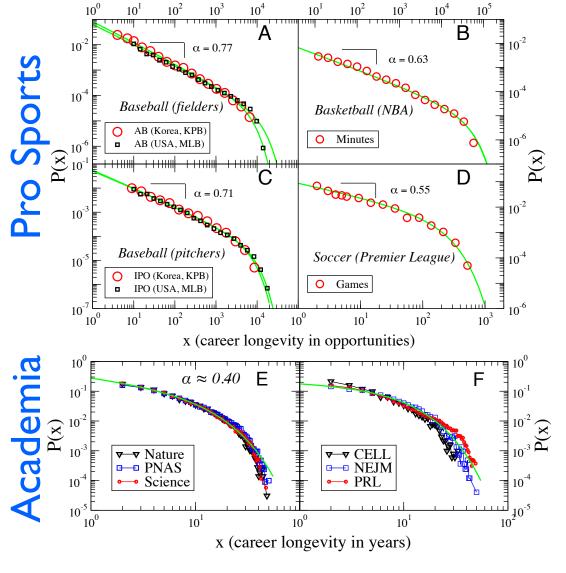


The progress probability g is the inverse of the mean waiting time au

Methods for measuring the citations and productivity of scientists across time and discipline, A. M. Petersen, F. Wang, H. E. Stanley. Phys. Rev. E 81, 036114 (2010).

Quantitative and empirical demonstration of the Matthew effect in a study of career longevity. A. M. Petersen, W.-S. Jung, J.-S. Yang, H. E. Stanley. Proc. Natl. Acad. Sci. USA 108, 18-23 (2011).

## Statistical regularities in the career longevity distribution



#### opportunities ~ time duration

Quantitative and empirical demonstration of the Matthew effect in a study of career longevity, A. M. Petersen, W.-S. Jung, J.-S. Yang, H. E. Stanley. Proc. Natl. Acad. Sci. USA 108, 18-23 (2011).

#### Major League Baseball

 130+ years of player statistics, ~ 15,000 careers

#### "One-hit wonders"

- 3% of all fielders finish their career with ONE at-bat!
- 3% of all pitchers finish their career with less than one inning pitched!

#### "\lron horses"

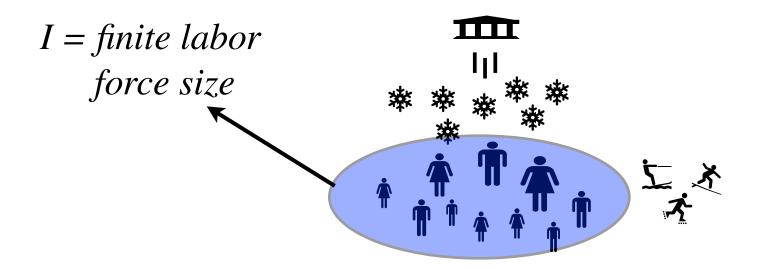
- Lou Gehrig (the Iron Horse): NY Yankees (1923-1939)
- Played in 2,130 consecutive games in 15 seasons! 8001 career at-bats!
- Career & life stunted by the fatal neuromuscular disease, amyotrophic lateral sclerosis (ALS), aka Lou Gehrig's Disease



## C) Competition and contract length

# Agent-based competition model with cumulative achievement appraisal (evaluation)

Achievement measured by  $\,n_i(t)$  , the number of opportunities (ex. publications) captured in time period t



## Appraising prior achievement

Achievement measured by  $\ n_i(t)$  , the number of opportunities captured in time period t

The cohort of I agents compete for a fixed number of opportunities in each period over a lifespan of  $t = 1 \dots T$  periods.

In each period, the capture rate of a given individual i is calculated by an appraisal of the achievement history

capture rate 
$$\propto w_i(t) \equiv \sum_{\Delta t=1}^{t-1} n_i(t-\Delta t)e^{-c\Delta t}$$

Appraisal timescale 1/c

exponential discount factor

 $c \rightarrow 0$ : appraisal over all lifetime achievements ( ~ tenure system)

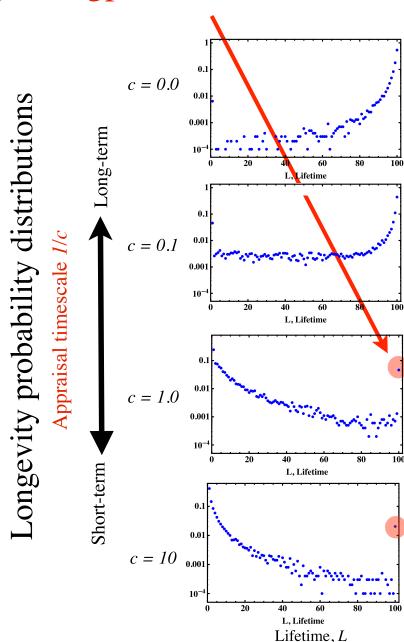
c > 1: appraisal over only recent achievements (short-term contract system)

## Crowding out by "kingpins"

#### Our theoretical model suggests that

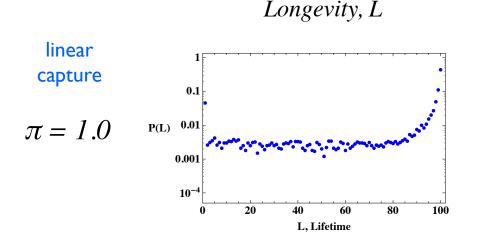
#### short-term appraisal systems:

- \* can amplify the effects of competition and uncertainty making careers more vulnerable to early termination, not necessarily due to lack of individual talent and persistence, but because of random negative production shocks.
- \* effectively discount the cumulative achievements of the individual.
- \* may reduce the incentives for a young scientist to invest in human and social capital accumulation.



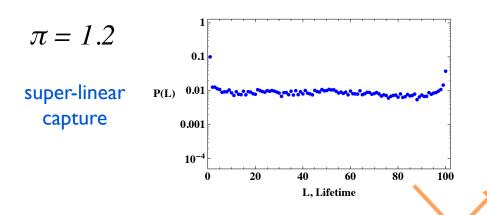
## Q: Is there an optimal appraisal (contract) length?

$$c = 0.1$$
 (~ long term appraisal)



non-linear preferential capture model

$$\mathcal{P}_i(\ ) = \frac{i(\ )^{\pi}}{\sum_{i=1}^{I} i(\ )^{\pi}}$$



Hazard rate H(L)=-d/dL [ln P(L)]: conditional probability that failure will occur at time  $(L+\delta L)$  given that termination has not yet occurred at time L

$$H(L) \approx 0$$
  
hazard rate is not dependent on  
career position!



## Thank you!

#### A special thanks to my collaborators:

Joel Tenenbaum, Matjaz Perc, Shlomo Havlin, Gene Stanley, Santo Fortunato, Woo-Sung Jung, Kimmo Kaski, Fabio Pammolli, Raj Pan, Orion Penner, Massimo Riccaboni, Sauro Succi, Fengzhong Wang, Jae-Sook Yang, Ionnis Pavlidis, Ionna Semendeferi

http://physics.bu.edu/~amp17/

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- As team science evolves, Academia needs new ethics and paradigms, I. Pavlidis, A. M. Petersen, I. Semendeferi, (submitted 2014)
- A quantitative perspective on ethics in large team science, A. M. Petersen, I. Pavlidis, I. Semendeferi, ArXiv: 1404.0191

Title: Quantifying the role of teamwork and reputation across scientific careers

**Abstract:** Globalization of the scientific enterprise, the emergence of quantitative publication and impact measures, and shifts in the economics of science have altered the academic career ladder, making scientific careers a topic of increasing interest. Using comprehensive career data for 450 leading scientists from biology, mathematics, and physics I will discuss patterns of career growth, reflecting on the amplifying role of underlying social processes such as team work and reputation. In the case of teamwork, for all three disciplines analyzed and for collaboration sizes ranging from 1 up to 100 coauthors per year, we observe a diminishing returns in annual publication rates when controlling for collaboration size, a feature that reflects team management, coordination, and training inefficiencies. These factors will be important in light of the increasing prevalence of "big science". Indeed, the gradual crowding out of singleton and small team science by large team endeavors is challenging key features of research culture. It is therefore important for the future of scientific practice to reflect upon the scientists' ethical responsibilities within teams. Reputation, on the other hand, is an important social construct in science, which enables informed quality assessments of both publications and careers of scientists in the absence of complete systemic information. However, the relation between reputation and career growth of an individual remains poorly understood, despite recent proliferation of quantitative research evaluation methods. I will discuss an original framework for measuring how a publication's citation rate depends on the reputation of its central author, in addition to its net citation count. I will show how a new publication may gain a significant early advantage corresponding to roughly a 66% increase in the citation rate for each tenfold increase in author reputation.



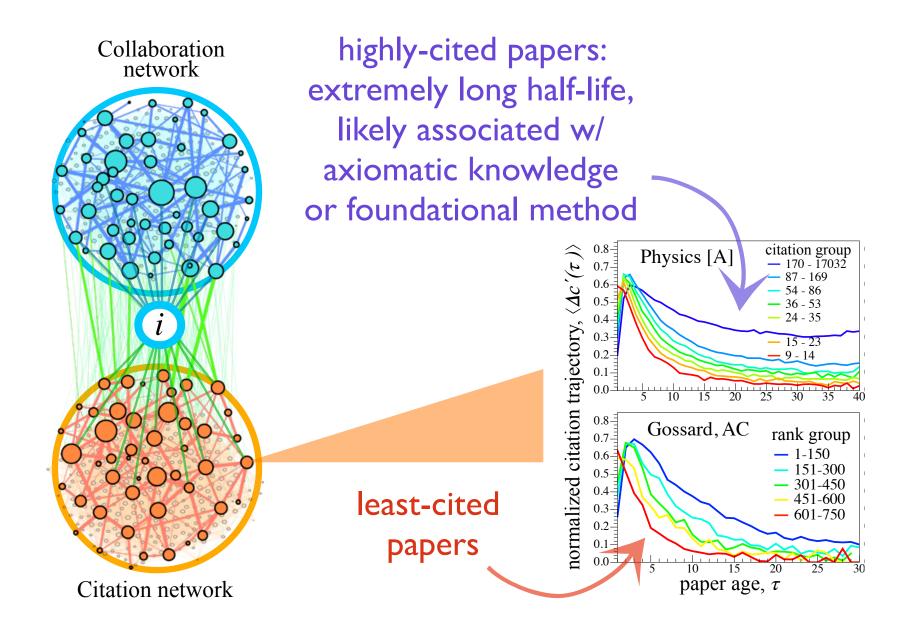
# Life cycles





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## Dynamic network characterized by life-cycles



## Dynamic network characterized by life-cycles

